

**SUWANNEE RIVER WATER MANAGEMENT DISTRICT
ANNUAL REPORT OF DISTRICT INSPECTOR GENERAL ACTIVITIES
July 27, 2020**

ASSIGNED STAFF

During the fiscal year ending September 30, 2020, the following LRCM personnel worked on District Inspector General activities:

Name	Position	Certifications
Francis Marvin Doyal	District Inspector General	Certified Public Accountant Certified Inspector General
Richard Law	Managing Partner	Certified Public Accountant
Jon Ingram	Information Technology Audit Manager	Certified Public Accountant Certified Information Systems Auditor
Shelby Brown	Senior Auditor	

INSPECTOR GENERAL ACTIVITIES

LRCM and the District Inspector General completed the following activities during the year:

- Conducted a risk assessment and based on the results of the risk assessment, prepared a general internal audit plan for the three fiscal years ending September 30, 2022, and a detailed internal audit plan for the fiscal year ending September 30, 2020. The audit plans were approved by the Governing Board on October 10, 2019.
- Performed three internal audits, as described in the following section.

The District Inspector General received one complaint during the fiscal year, a referral from the Chief Inspector General of the Executive Office of the Governor. The complaint was also referred to the Inspectors General of two State agencies. Upon review of the complaint, the District Inspector General concluded that there was no basis for opening an investigation at the District at this time. Specific details are not disclosed as some matters remain open for other agencies.

INTERNAL AUDITS PERFORMED IN 2019-20

Report No. 20-01, Follow-up Report on District Corrective Actions Regarding Prior Internal Audit Findings

This follow-up was conducted pursuant to Section 20.055(8)(c)4., Florida Statutes and the District’s internal audit activity charter. Our report noted that the District continues to